

525 U.S.EPTO
20/11/02

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

**Only for new non-provisional applications under 37 CFR
1.53(b))**

Attorney Docket No. 09792909-5337

09792909-5337

First Named Inventor or Application Identifier

Hideo Yamanaka

Express Mail Label No: EL834724946US

Jc-997 10/07574 Pro

02/14/02

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ACCOMPANYING APPLICATION PARTS

- | | | |
|---|---|------------------------|
| <input checked="" type="checkbox"/> | Specification | Total Pages <u>201</u> |
| <input checked="" type="checkbox"/> | Drawing(s) (36USC 113) | Total Pages <u>38</u> |
| <input checked="" type="checkbox"/> | Declaration and Power of Attorney | Total Pages <u>2</u> |
| a. <input checked="" type="checkbox"/> | Unexecuted(original or copy) | |
| b. <input type="checkbox"/> | Copy from prior application (37CFR 1.63(d))
<i>(for continuation/divisional with Box 14)</i> | |
| <i>(completed)</i> | | |
| <i>[Note Box 4 Below]</i> | | |
| i. <input type="checkbox"/> | <u>DELETION OF INVENTOR(S)</u> | |
| Signed statement attached deleting
Inventor(s) named in the prior | | |
| application, see 37 CFR 1.63(d)(2) and 1.33(b). | | |
| <input type="checkbox"/> | Incorporation By Reference (usable if Box 3b is checked) | |
| The entire disclosure of the prior application, from which | | |
| copy of the oath or declaration is supplied under Box 3b,
is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein. | | |

- 5. Assignment Papers (cover sheet & documentation)
 - 6. Letter under 37 CFR 1.41(c).
 - 7. English Translation Document to follow (*if applicable*)
 - 8. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
 - 9. Preliminary Amendment
 - 10. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
 - 11. Small Entity Statement filed in prior Application, Statement(s) Status still proper and desired
 - 12. Certified copy of Japanese priority document No. P2001-036441 filed February 14, 2001.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior application No:

CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$740.00
TOTAL CLAIMS		131	111	\$18.00	1,998.00
INDEPENDENT CLAIMS		6	3	84.00	252.00
	ANY MULTIPLE DEPENDENT CLAIMS?			280.00	280.00
	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO			TOTAL FEE	\$3,270.00

- The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
P.O. Box #061080
Wacker Drive Station - Sears Tower
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Telephone 312/876-8000
Customer ID #26263

SIGNATURE

David R. Metzger (Req. No. 32,919)

Date: February 14, 2002



David R. Metzger
312/876-2578

February 14, 2002

Assistant Commissioner of Patents
Washington, D.C. 20231

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RE: New U.S. Application for Letters Patent entitled "METHOD AND APPARATUS FOR FORMING A THIN SEMICONDUCTOR FILM, METHOD AND APPARATUS FOR PRODUCING A SEMICONDUCTOR DEVICE, AND ELECTRO-OPTICAL APPARATUS"

Applicant(s): Hideo Yamanaka, et al.
Attorney Docket No. 09792909-5337

Dear Sir:

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 131 claims (6 independent w/multiple), 38 sheets of drawings (Figs. 1-27C) and the filing fee of \$3,270.00 on behalf of

HIDEO YAMANAKA and HISAYOSHI YAMOTO

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHEIN NATH & ROSENTHAL

By:

A handwritten signature in black ink that reads "David R. Metzger".

David R. Metzger

DVM:kat
Enclosures